SACT data improvement areas for the NHS England MO CQUIN

The <u>Systemic Anti-Cancer Therapies (SACT) dataset</u> held by the National Cancer Registration and Analysis Service (NCRAS) at Public Health England (PHE) has been collecting chemotherapy activity data since 2012. Since April 2014, providing all 43 dataset items has been mandatory.

The NHS England medicines optimisation CQUIN (MO-CQUIN) 2017-2019, encompasses requirements to improve the overall quality and completeness of the SACT data being provided by trusts. It also identifies a number of SACT data items that are high priority for improvement. The SACT CQUIN requirements are detailed in this document.

In June 2017 the checks carried out on SACT data uploads were updated. Since then data must be present against all records for a small number of additional data items e.g. drug name. A prerequisite to this CQUIN is that the required data is being submitted for all records.

Improvement areas covered by this CQUIN are;

- Report all treatment activity in the SACT dataset across all administration routes;
 - a. Correct all critical errors before uploading and approving SACT data
 - b. Include all activity in SACT data uploads
- Achieve high completeness and quality for the following key data items across all administration routes;
 - At regimen level Performance status, treatment intent, height and weight.
- Complete mapping of local to national treatment regimens within upload deadlines.
- SACT data to be <u>uploaded</u> and approved by agreed deadlines

Patient inclusions/exclusions

To ensure CQUIN requirements are achievable some treatments and patients were excluded from targets. Details of these exclusions are provided alongside the relevant targets below. In general:

- Private patients are excluded from all targets.
- Patients taking part in clinical trials are included in all targets.
- Unless otherwise specified, targets apply to patients of all ages at a trust, including paediatric and TYA patients.

SACT CQUIN baselines

The baseline period from which improvement will be measured is quarter four, FY 2016/17 (i.e. Jan – Mar 2017).

NHSE commissioners requested trusts submit transition plans. Transition plans should have been developed and agreed close to the end of quarter two, FY 17/18 (i.e. 30th September 2017). If you require any additional information please contact your local commissioners.

Targets are set out in this document and can be reviewed alongside baseline data for trusts. Baseline data is available on <u>CancerStats2</u>. If you are not yet registered for CancerStats2 please sign up from the home page.

Reports to support CQUIN evaluation

The PHE SACT team produce MO CQUIN reports that provide baseline data and monthly trust performance across all SACT improvement areas. The report covers the entire CQUIN period and is available on CancerStats2. Data is updated on a monthly basis. SACT users are encouraged to register on the CancerStats2 homepage to access these reports.

A static <u>SACT data compliance report</u> showing trust performance against the SACT targets October 2017 – March 2018 is available on the SACT website; however users are encouraged to refer to CancerStats2 for the most up to date figures.

Key updates and clarifications since guidance was first published

Flexibility of the targets

- All trusts should be working towards full achievement of the targets set for these SACT requirements. But, for trusts with a much lower baseline position it may be more challenging to do this. It's therefore reasonable to agree an interim target for this financial year with full achievement being reached in the 2018/19 financial year. Adaptations to targets will have been agreed with local commissioners who should be contacted for more information.
- The targets are challenging and so where reasonable there will be flexibility in the assessment of whether targets have been met. If you have concerns about meeting your targets please contact the SACT dataset helpdesk (sact@phe.gov.uk) and local commissioners.

Target revision

After careful review and consideration two of the original SACT data requirements included in the MO CQUIN guidance (April 2017) have been removed (Area 2: CDF patient data and data item completeness; Area 3: Providing a separate outcomes extract). Improvements in these areas will be followed up through other routes.

This targets listed in this document are the revised requirements to assess trust CQUIN performance. Numbering reflects the original improvement areas to avoid confusion (Area 2 and 3 are no longer included).

Relevant to improvement area 1

• Some trusts have had errors on uploads from new GP practice and GMC codes. The PHE team will be updating these lists more regularly to try and avoid this. If any errors are caused by new codes let the team know before finalising your upload and they'll be added to the validation list.

Relevant to improvement areas 1 and 4

- Performance status validations in CTYA have been amended to allow the both the WHO or Lansky scale to be used in 16-19 year olds
 - o This is due to issues trusts were having providing WHO performance status scores for all patients 16+

Relevant to improvement area 4:

- The data completeness targets will only include new regimens starting in the relevant periods to remove the impact on completeness of older regimens with cycles still being delivered.
- Treatment intent is no longer covered by the targets due to an error in the baseline data supplied that wrongly suggested all trusts had 100% completeness
 - o The target to supply no records with unknown intent does still apply

Relevant to improvement area 1, 5 and 6:

In June 2018 the SACT upload portal was updated with the following changes:

- Critical errors and regimen mapping must be resolved before records can be submitted.
- The approval step is no longer required before record submission.

Targets for these areas were included in the MO CQUIN to ensure that Trusts were prepared for this transition. Reports present performance against these targets for the CQUIN period, but will not be updated beyond June 2018.

Support from the PHE SACT team

The PHE SACT team will provide support and information where possible to help trusts make these improvements, contact SACT@phe.gov.uk if you have any questions.

Improvement area 1: Capture all treatment activity in the SACT dataset across all administration routes a) Correct all critical errors before approving trust SACT data upload files.

When a SACT data file is uploaded through the SACT portal validations are applied and critical errors displayed to the uploader.

A critical error can be caused by invalid data or missing data for certain key data items shown in the table 1.

June 2017 validations were updated to include additional checks. Since then four new data items, shown below, must have data present for all SACT records to avoid critical errors. A summary of the SACT data validations (<u>SACT summary business rules</u>) is on the SACT website.

Records that contain critical errors cannot be added to the SACT dataset.

- In the old SACT portal (to Jun 2018), data upload files containing 20% or more critical errors are rejected.
- In the new SACT portal (Jun 2018 onwards), data upload files are rejected if they contain any critical errors.

Performance against this target is only relevant for the old SACT portal and will only be reported to May 2018.

Table 1 – SACT data items where validation errors can cause critical errors

| Dataset area | Item | Dataset item | Values must | Other checks on values supplied |
|-----------------------------|------|--|-------------|---|
| | no. | | be present? | |
| | 1 | NHS number | Yes | Must be a valid NHS number |
| Damaannahiaa | 2 | Date of birth | Yes | Date must be before date of death |
| Demographics and Consultant | 3 | Gender – current | Yes (new) | Either 1 and 2 or M and F |
| and Consultant | 5 | Patient postcode | Yes | Must be valid postcode format |
| | 9 | Organisation code of provider | Yes | Must be a valid NHSE code |
| Oliniaal Otatus | 10 | Primary diagnosis (ICD-10) | Yes | must be supplied as per NHS data dictionary standard |
| Clinical Status | 16 | Regimen name (OPCS 4.6) | Yes | Local names will need to be mapped to a national name |
| Programme and Regimen | 19 | Performance status at start of regimen | | For ages 0-15 must be full Lansky code including leading 0. For ages 16 – 19 should be WHO code with no leading 0 but Lansky with leading zeros will also be accepted For ages 20+ WHO code with no leading 0 |
| | 21 | Date decision to treat | | Must be before or same as regimen start date |
| | 22 | Start date of regimen | Yes | Must be before or same as start date of final treatment cycle |
| Ovele | 26 | Cycle number | Yes | Must be a number |
| Cycle | 27 | Start date of cycle | Yes (new) | Must be before or same as administration date |
| Drug Dotoile | 31 | Drug name | Yes (new) | |
| Drug Details | 34 | Administration date | Yes (new) | Must be before or same as date of death |
| Outcome | 37 | Start date of final treatment cycle | | Must be after or same as start date of regimen |
| Outcome | 42 | Date of death | | Must be after or same as administration date |

Fixing critical errors and resubmitting trust data will increase the volume of SACT treatment activity reported to the dataset each month and will contribute to achieving the targets outlined in section 1.b around reporting all treatment activity.

| What is being monitored? | Relevant areas of improvement | Final targets |
|---|---|--|
| This requirement will be monitored with data on the proportion of records on trust's final data submission that contain critical errors each month (until implementation of the new SACT portal). | Trusts should introduce processes to: Support staff uploading and approving data to correct errors. Avoid removing SACT records with critical errors from upload files. | Across all trust SACT data files uploaded from October 2017 to March 2018 there must be 0% critical errors remaining on the final SACT data submissions. |
| The CancerStats2 MO CQUIN data submissions report provides performance data on this metric. | Identify recurring errors and implement solutions to avoid them. | |

Improvement area 1: Capture all treatment activity in the SACT dataset across all administration routes

b) Ensure all activity is reported in SACT data uploads

| What is being monitored? | Relevant areas of improvement | Final targets |
|---|---|---|
| Patient numbers reported in the SACT dataset (see Appendix A for SACT data inclusions) have been compared to those reported in the Secondary Uses Service (SUS) dataset. (see Appendix B for SUS data inclusions) If activity is lower in SACT than SUS then that is a strong indication that certain patients are missing from the SACT dataset. To make the data comparable certain treatments and patient groups that would not be expected in both datasets have been excluded. However, we do not expect activity reported in these datasets to match exactly. This is due to differences in the treatments included in them and complexities with the coding of certain information. The CancerStats2 MO CQUIN data submissions report provides performance data on this target and splits data by consultant speciality (see Appendix C for consultant speciality codes). | Prescribe all cycles of SACT treatment, including oral treatment, through e-prescribing as per the National Standard Contract. Ensure these are also included in your SACT data uploads. Validate trust treatment activity levels reported through SACT uploads with clinical and business intelligence teams and against any other relevant information sources Identify any disease or treatment types for which data quality and completeness needs improvement and bring together relevant teams to implement solutions | All trusts should review their data and the improvement areas set out here to establish whether ascertainment could be increased. Across all trust SACT data files uploaded from October 2017 to March 2018 the number of patients reported in SACT should be equal to or greater than 95% of the patient numbers reported in SUS Where this is not the case trusts must identify which patient cohorts are being underreported and put processes in place to increase ascertainment in SACT. This requirement should be reviewed through a combination of; I. Patient numbers in SACT being increased — at least above the -5% threshold II. A review of whether all required actions set out in the trusts data improvement plans have been taken to increase ascertainment. a) Where there appears to be underreporting in SACT by consultant speciality then either the underreporting or coding issues should be rectified |

Improvement area 2: Deleted

Improvement area 3: Deleted

Improvement area 4: Improve completeness and quality of key treatment and clinical data items

We are aware that height and weight is not routinely required in order to prescribe flat dose and oral treatments. Improvement targets for height and weight apply to all treatments to ensure any significant changes in weight are picked up and in order to support the review of outcomes such as 30-day mortality and examine whether dosing could be improved.

These data items are therefore all of high importance for research on outcomes from SACT treatment

| What is being monitored? | Relevant areas of improvement | Final targets |
|---|--|--|
| Data items for which high levels of completeness and quality are required are; | Identify any disease or treatment types for which data quality and completeness needs improvement | For treatment activity occurring in Jan – March 2018 and uploaded in the relevant months the following targets will apply; |
| Performance status at start of regimen | and bring together relevant teams to implement solutions | a) Performance status at start of regimen: at least 95% completeness |
| Treatment Intent (regimen level) | Discuss with your business intelligence and clinical teams how best to routinely collect and validate these data items Review whether any of the required data are being recorded but are not being reported in your SACT data uploads. | b) 0% regimens recorded as code 9 - 'Not recorded' for treatment intent or performance status |
| Height and weight at start of regimen for; | | c) Height* and weight at start of regimen – regimens that include an IV treatment: at least 98% completeness |
| Regimens with an IV element for which these are required | | |
| Regimens only including flat dose and/or oral treatments | | d) Height* and weight - Regimens only including flat dose and/or oral treatments: at least 90% completeness |
| Bisphosphonates, hormones (except abiraterone and enzalutamide) and non-SACT treatments are excluded from targets for all of these data items (Appendix D provides further details of | | * Children aged 0–18 are excluded from these targets due to differences in clinical practice. |

| What is being monitored? | Relevant areas of improvement | Final targets |
|--|-------------------------------|---------------|
| exclusions). | | |
| The CancerStats2 MO CQUIN data completeness report provides performance data on this target. | | |

Improvement area 5: Complete mapping of local to national treatment regimens within required timeframes

Trusts are able to maintain local regimen names, which will then be reported in their SACT data. To support this there is a requirement for trusts to map local regimen names to a national standard.

There may be queries raised on trust regimen mapping by the pharmacy advisors that support the SACT team.

It's important that the mapping is completed and queries resolved otherwise it is not possible to identify SACT regimens for reporting and analysis.

Following implementation of the new portal (June 2018), all regimen mapping must be completed before data submission. Performance against this target will only be reported for the old portal to May 2018.

| What is being monitored? | Relevant areas of improvement | Final targets |
|--|--|---|
| Monthly regimen mapping data are provided on the CancerStats2 MO CQUIN data submissions report These snapshots were taken on the first working day of each month and report the; Number of unmapped regimens Number of unresolved queries If a new SACT data file has already been uploaded for the following month before the snapshot is taken there is no requirement for new regimens in that file to be mapped at that point. | Ensure that the regimens available to select in your e-prescribing system are all valid and that all prescribers use them. Ensure there is clear ownership of the responsibility for regimen mapping within the trust Ensure there are processes in place to support the resolution of queries on the regimen names used both for internal teams and to resolve queries raised by the SACT team. | a) No unmapped regimens at the end of each month from October onwards i.e. mapping for the file uploaded by October 15th and approved by end October should be completed by the end of November. b) No unresolved queries of the end of the month following an upload. i.e. any queries on the mapping completed in November must be resolved by the end of December c) Any outstanding mapping of regimens from earlier months must be completed by the end of October with queries resolved by the end of November |

Improvement area 6: Submit and approve SACT data within the required timeframes

To ensure data reporting, checking and analysis process can be completed in a timely manner SACT data submission and approval should be completed within the required timeframes. These requirements were set out in the upload calendar provided through the SACT website.

| What is being monitored? | Relevant areas of improvement | Final targets |
|--|---|---|
| All sites that upload data within a trust must submit and approve their data within the required timeframes for a trust to meet the target. Late uploads and approvals are provided in the SACT data submissions report on CancerStats2 and the SACT data compliance report on the SACT website | Ensure there is clear ownership of the responsibility for uploading and approving data across all sites submitting data for each trust. | SACT data files uploaded from October 2017 to March 2018 from all sites within a trust must be; a) Uploaded by the 15 th of each month b) Approved by the end of each month |
| Late approvals are only reported to May 2018. From this date the approval step is not required. | | |

Appendix A: Area 1 SACT exclusions (for SUS comparison)

The following regimens and patients have been excluded from the SACT data:

| Anagrelide | Flutamide |
|--------------------------------|-------------------------|
| Anastrozole, | Fulvestrant |
| Anastrozole + Exemestane | Goserelin |
| Anastrozole + Goserelin | Goserelin + Leuprorelin |
| Anastrozole + Letrozole | Goserelin + Tamoxifen |
| Anastrozole + Tamoxifen | Ibandronic Acid |
| Bicalutamide | Letrozole |
| Bicalutamide + Goserelin | Letrozole + Pamidronate |
| Bicalutamide + Leuprorelin | Leuprorelin |
| Bicalutamide + Tamoxifen | Medroxyprogesterone |
| Bicalutamide + Zoledronic Acid | Megestrol |
| Cyproterone | Megestrol + Tamoxifen |
| Cyproterone + Goserelin | Pamidronate |
| Cyproterone + Leuprorelin | Progesterone |
| Degarelix | Stilboestrol |
| Degarelix + Bicalutamide | Tamoxifen |
| Denosumab | Tamoxifen + Triptorelin |
| Exemestane | Zoledronic Acid |

- Regimens identified as not systemic anti-cancer therapies, such as anti-emetics and vitamin B12 injections, have also been excluded.
- This in effect means that any patient who only receives any of the above treatments will be excluded from the analysis.
- Patients receiving other treatments that are not listed above, including regimens that could not be assigned to a known group, have been included in the analysis
- Patients identified as being diagnosed with bladder cancer (ICD10 primary diagnosis: C67, D30.3-D30.4 or D41.3-D41.4) and treated by a urologist (consultant code 101) have been excluded from SACT and SUS. This was to exclude bladder washouts, which aren't captured in SUS.

Appendix B: Secondary Uses Service (SUS) data

OPCS codes included in the SUS dataset patient numbers

| Intravenous Chemotherapy | |
|---|------|
| Intramuscular Chemotherapy | X373 |
| Subcutaneous Chemotherapy | X384 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 1 | X701 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 2 | X702 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 3 | X703 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 4 | X704 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 5 | X705 |
| Other Specified Procurement Of Drugs For Chemotherapy For Neoplasm In Bands | |
| 1-5 | X708 |
| Unspecified Procurement Of Drugs For Chemotherapy For Neoplasm In Bands 1-5 | X709 |

| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 6 | X711 |
|--|------|
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 7 | X712 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 8 | X713 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 9 | X714 |
| Procurement Of Drugs For Chemotherapy For Neoplasm For Regimens In Band 10 | X715 |
| Other Specified Procurement Of Drugs For Chemotherapy For Neoplasm In Bands | |
| 6-10 | X718 |
| Unspecified Procurement Of Drugs For Chemotherapy For Neoplasm In Bands 6-10 | X719 |
| Delivery Of Complex Chemotherapy For Neoplasm Including Prolonged Infusional | |
| Treatment At First Attendance | X721 |
| Delivery Of Complex Parenteral Chemotherapy For Neoplasm At First Attendance | X722 |
| Delivery Of Simple Parenteral Chemotherapy For Neoplasm At First Attendance | X723 |
| Delivery Of Subsequent Element Of Cycle Of Chemotherapy For Neoplasm | X724 |
| Other Specified Delivery Of Chemotherapy For Neoplasm | X728 |
| Unspecified Delivery Of Chemotherapy For Neoplasm | X729 |
| Delivery Of Exclusively Oral Chemotherapy For Neoplasm | X731 |
| Other Specified Delivery Of Oral Chemotherapy For Neoplasm | X738 |
| Unspecified Delivery Of Oral Chemotherapy For Neoplasm | X739 |
| Other Specified Other Chemotherapy Drugs | X748 |
| Unspecified Other Chemotherapy Drugs | X749 |

Appendix C: Consultant speciality codes

| Consultant speciality | Consultant speciality codes and any other inclusion criteria |
|-----------------------|--|
| Oncology | 370 or 800 |
| Haematology | 303 or 823 |
| | Any other consultant speciality code, including missing or non-valid |
| Other | codes |
| Paediatrics | 420 or aged 0-15 at start of regimen |
| TYA | Aged 16-23 at start of regimen |

Appendix D: Area 4 completeness inclusions

Achieve high completeness and quality for the following key data items across all administration routes

| Diagnostic Group | Primary diagnosis codes (ICD10) |
|------------------|--|
| Brain/CNS | C47, C69-C72 |
| Breast | C50 |
| Gynae | C51-C58 |
| Head and Heck | C00-C14, C30-C32 |
| Leukaemia | C91-C95, C962, C964, C968 |
| Lower GI | C18-C21 |
| Lung | C33-C34, C37-C39, C45 |
| Lymphoma | C81-C86, C88, C913-C914, C916-C917, C919 |
| Myeloma | C90, D472, E85 |
| Sarcoma | C40-C41, C46, C49 |
| Skin | C43-C44 |
| Upper GI | C15-C17, C22-C25 |

| Urology | C60-C68 |
|---------|---|
| Other | Any valid ICD10 C or D code not listed in the groupings above |

Treatment intent & Performance Status at start of regimen - data completeness

| Values included in completeness | Only valid values listed in the NHS data dictionary |
|---------------------------------|---|
| | count towards completeness |
| Treatment exclusions | Bladder washouts, Bisphosphonates, hormones |
| | (except abiraterone and enzalutamide) and non-SACT |
| | treatments (e.g. enemas and vitamin B12 injections) |

Treatment intent & Performance Status at start of regimen - recording of unknown

| Values included in unknown | Code 9 - Not known / Not Recorded |
|----------------------------|---|
| category | |
| Treatment exclusions | Bladder washouts, Bisphosphonates, hormones |
| | (except abiraterone and enzalutamide) and non-SACT |
| | treatments (e.g. enemas and vitamin B12 injections) |

Height and weight at start of regimen

| Exclusions from both categories of height and weight metrics listed | Regimens that were not mapped from a local to nationally recognised regimen name are excluded as |
|---|--|
| below | it's not possible to identify the regimen administered |
| | Bladder washouts, Bisphosphonates, hormones |
| | (except abiraterone and enzalutamide) and non-SACT |
| | treatments (e.g. enemas and vitamin B12 injections) |

IV height and weight

| Summary of exclusion criteria | All SACT regimens that include a treatment administered by IV that also requires height and weight to prescribe |
|-------------------------------|---|
| All excluded treatments are: | Fluconazole, folinic acid, fulvestrant, gonadorelin, goserelin, ibandronate, lenograstim, letrozole, leuprorelin, magnesium aspartate, medroxyprogesterone, megestrol, methylprednisolone, ondasterone, pamidronate, pomidronate, posaconazole, predisolone, prednisolone, prednisolone, sodium chloride, steroid, stilboestrol, tamoxifen, zoledronic acid Records where the individual drug administered couldn't be identified, including certain trial records |

Flat dose and oral height and weight

| Summary of inclusion criteria | All SACT regimens except for those that include an IV treatment that required height and weight to prescribe |
|-------------------------------|---|
| | All SACT regimens that only include treatments administered by any route other than IV. Regimens only including flat dose IV regimens are also included |